



Docket No. 71703

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Neff et al.
Application No.: 10/008,463
Filed: November 9, 2001
Title: FREE SPACE OPTICAL
COMMUNICATION SYSTEM
Group Art Unit: 2851
Examiner: TBD

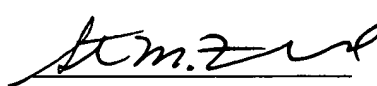
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Washington, DC 20231

on this date.

June 14, 2002

Date


Steven M. Freeland
Registration No. 42,555
Attorney for Applicant(s)

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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ Under 37 CFR §1.97(b) (within three months of the filing date of a national application or date of entry of an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last).
- ☒ Under 37 CFR §1.97(c) together with either:
- ☒ a statement under 37 CFR §1.97(e), or
 - ☐ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).
- ☐ Under 37 CFR §1.97(d) together with:
- ☐ a statement under 37 CFR §1.97(e), and
 - ☐ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(c) time period, but on or before payment of the issue fee).

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Application No. 10/008,463

Page 2

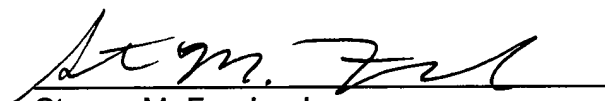
X Statement under 37 C.F.R. §1.97(e)(2): The undersigned states that no item was first cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with four (4) references listed as items AA-AD.

It is requested that the information provided herein be made of record in this application.

Respectfully submitted,


Dated: June 14, 2002



Steven M. Freeland
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|---|----------------------|------------------|
| Form PTO-1449 (Modified) INFORMATION DISCLOSURE CITATION IN AN APPLICATION  | Application No. | 10/008,463 |
| | Filing Date | November 9, 2001 |
| | First Named Inventor | Neff |
| | Group Art Unit | 2851 |
| | Examiner Name | N/A |
| Sheet 1 of 1 | Attorney Docket No. | 71703 |

| U.S. PATENT DOCUMENTS | | | | | | | | | |
|-----------------------|----------|---|------------------------------|----------------------------|-------------------------------------|---|-------|-----------|-------------|
| EXAMINER INITIALS* | CITE NO. | COPY NOT ENCLOSED PER 37 CFR § 1.98(d) | U.S. PATENT DOCUMENT | | NAME OF INVENTOR OR APPLICANT | DATE OF ISSUANCE OR PUBLICATION (MM-DD-YYYY) | CLASS | SUB CLASS | FILING DATE |
| | | | PATENT, PUB., OR APP. NO. | KIND CODE (if known) | | | | | |
| | AA | | 6,366,723 | B1 | Medved et al. | 04/02/2002 | | | 09/14/1999 |
| | AB | | 2002/0027691 | A1 | Medved et al. | 03/07/2002 | | | 11/02/2001 |
| | AC | | 2002/0028043 | A1 | Medved et al. | 03/07/2002 | | | 11/02/2001 |

| FOREIGN PATENT DOCUMENTS | | | | | | | | | | |
|--------------------------|----------|---|--|-----------------|----------------------------|--|-------|-----------|-------------|----|
| EXAMINER INITIALS* | CITE NO. | COPY NOT ENCLOSED PER 37 CFR § 1.98(d) | FOREIGN PATENT DOCUMENT | | | DATE OF PUBLICATION (MM-DD-YYYY) | CLASS | SUB CLASS | TRANSLATION | |
| | | | COUNTRY OR OFFICE (two-letter code) | DOCUMENT NO. | KIND CODE (if known) | | | | YES | NO |
| | AD | | WO | 00/16489 | A2 | 03/23/2000 | | | | |
| | | | | | | | | | | |

| OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS | | | |
|--|----------|---|---|
| EXAMINER INITIALS* | CITE NO. | COPY NOT ENCLOSED PER 37 CFR § 1.98(d) | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

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|---|------------------------|------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/008,463 |
| | Filing Date | November 9, 2001 |
| | First Named Inventor | Neff |
| | Group Art Unit | 2851 |
| | Examiner Name | N/A |
| Total Number of Pages in This Submission | Attorney Docket Number | 71703 |

ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. PTO- 1449 2. Four References 3. Return Receipt Postcard |
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-----------------|-------------------------------------|
| Individual name | Steven M. Freeland, Reg. No. 42,555 |
| Signature | |
| Date | June 14, 2002 |

CERTIFICATE OF MAILING

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| Typed or printed name | Steven M. Freeland | | |
| Signature | | Date | June 14, 2002 |

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